

Kapur Vimal  
Form 3/A  
February 27, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Kapur Vimal

(Last) (First) (Middle)

115 TABOR ROAD

(Street)

MORRIS PLAINS,Â NJÂ 07950

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

05/31/2018

3. Issuer Name **and** Ticker or Trading Symbol

HONEYWELL INTERNATIONAL INC [HON]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

X Officer \_\_\_ Other

(give title below) (specify below)

President & CEO, HBT

5. If Amendment, Date Original  
Filed(Month/Day/Year)

06/11/2018

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting  
Person

\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

12,891 <sup>(15)</sup>

D

Â

Common Stock

152

I

Held in 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

4. Conversion  
or Exercise  
Price of  
Derivative

5. Ownership  
Form of  
Derivative  
Security:

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)



# Edgar Filing: Kapur Vimal - Form 3/A

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/24/2021	Common Stock	10,057	\$ 56.73	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/28/2022	Common Stock	5,280	\$ 59.53	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/26/2023	Common Stock	7,040	\$ 69.38	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/26/2024	Common Stock	7,542	\$ 93.44	D	Â
Employee Stock Options (right to buy)	Â <u>(3)</u>	02/25/2025	Common Stock	12,068	\$ 103.31	D	Â
Employee Stock Options (right to buy)	Â <u>(4)</u>	02/24/2026	Common Stock	14,080	\$ 103.07	D	Â
Employee Stock Options (right to buy)	Â <u>(5)</u>	02/27/2027	Common Stock	15,500	\$ 124.99	D	Â
Employee Stock Options (right to buy)	Â <u>(6)</u>	02/26/2028	Common Stock	16,500	\$ 155.39	D	Â
Restricted Stock Units	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	1,368	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock	3,370	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(10)</u>	Common Stock	2,354	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(11)</u>	Â <u>(11)</u>	Common Stock	2,220	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(12)</u>	Â <u>(12)</u>	Common Stock	5,000	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(13)</u>	Â <u>(13)</u>	Common Stock	2,360	\$ <u>(8)</u>	D	Â
Supplemental Savings Plan Interests	Â <u>(14)</u>	Â <u>(14)</u>	Common Stock	265.431	\$ <u>(14)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kapur Vimal 115 TABOR ROAD MORRIS PLAINS,Â NJÂ 07950	Â	Â	Â President & CEO, HBT	Â



## Signatures

Su Ping Lu for Vimal  
Kapur

02/27/2019

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the 2006 Stock Incentive Plan and are fully vested.
- (2) The Employee Stock Options were granted under the 2011 Stock Incentive Plan and are fully vested.
- (3) The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 9,051 options fully vested and 3,017 options vesting on February 26, 2019.
- (4) The Employee Stock Options were granted under the 2011 Stock Incentive Plan with 7,040 options fully vested and 3,520 options vesting on February 25, 2019 and 3,520 vesting on February 25, 2020.
- (5) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 3,875 options fully vested and 3,875 options vesting on each of February 28, 2019, February 28, 2020 and February 28, 2021.
- (6) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 4,125 options vesting on each of February 27, 2019, February 27, 2020, February 27, 2021 and February 27, 2022.
- (7) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on July 29, 2018.
- (8) Instrument converts to common stock on a one-for-one basis.
- (9) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with 1,659 units vesting on July 25, 2019 and 1,711 units vesting on July 25, 2021.
- (10) The Restricted Stock Units were granted under the 2011 Stock Incentive Plan with all units vesting on February 25, 2019.
- (11) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 28, 2020.
- (12) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 1,650 units vesting on July 27, 2019; 1,650 units vesting on July 27, 2021 and 1,700 units vesting on July 27, 2023.
- (13) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 27, 2021.
- (14) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on May 31, 2018.
- (15) Due to an administrative error, the total number of shares held is updated to reflect the total number of Honeywell shares held by Mr. Kapur on May 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.