Edgar Filing: HOLLICK CLIVE R - Form 4

HOLLICK C	CLIVE R										
Form 4											
April 29, 202	19										
FORM									OMB AF	PROVAL	
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMMISSION	OMB Number:	3235-0287		
Check this box				8 /					Expires:	January 31,	
if no longer subject to STATEMENT OF CHAN				IGES IN BENEFICIAL OWN				NERSHIP OF		2005	
subject to Strate weight of Chart				SECURITIES					Estimated average burden hours per		
Form 4 o	Form 4 or								response 0.		
Form 5	Filed p	ursuant to	Section 1	6(a) of th	e Securit	ties E	Exchange	e Act of 1934,			
obligation may cont		7(a) of the	Public U	tility Hold	ding Cor	npan	y Act of	1935 or Section	ı		
See Instru 1(b).		30(h)	of the Ir	ivestment	Compar	ny Ac	t of 194	0			
(Print or Type I	Responses)										
HOLLICK CLIVE R S			2. Issuer Name and Ticker or Trading Symbol				C .	5. Relationship of Reporting Person(s) to Issuer			
			HONE INC [H	YWELL I [ON]	NTERN	ATIO	ONAL	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date o	f Earliest Tı	ansaction			X Director		Owner	
			(Month/I	Day/Year)				Officer (give t below)	itle Othe below)	r (specify	
115 TABOH	R ROAD		04/25/2	019				5010 (1)	001010)		
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			onth/Day/Year)				Applicable Line)				
								X Form filed by O			
MORRIS P	LAINS, NJ 079	50						Form filed by M Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	le I - Non-E	Derivative	Secur	ities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of	2. Transaction Da			3. 4. Securities Acquired				5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year		on Date, if Transaction(A) or Disposed of (D)					Securities Beneficially	Ownership Form: Direct	Indirect Beneficial	
(Instr. 5)		any (Month/I	Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)			5)	Owned	Form: Direct Benefi (D) or Owner	Ownership	
		× ·	, , , , , , , , , , , , , , , , , , ,	. ,				Following	Indirect (I)	(Instr. 4)	
						(A)		Reported	(Instr. 4)		
						or		Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(msu. 5 and 4)			
Common Stock	04/25/2019			М	492	Α	\$ 169.79	5,743 <u>(4)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number orof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Units	<u>(1)</u>	04/25/2019		М	492 (2) (3)	(5)	(5)	Common Stock	$492 \underbrace{(2)}_{(3)}$	\$ 169

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HOLLICK CLIVE R 115 TABOR ROAD MORRIS PLAINS, NJ 07950	Х						
Signatures							
Su Ping Lu for Clive R. Hollick	04/2	29/2019					
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Instrument converts to common stock on a one-for-one basis.
- (2) Includes the reinvestment of dividend equivalents into 29 additional restricted stock units.

Resideo Technologies, Inc. spin-off from Honeywell which occurred on October 29, 2018.

All options and restricted stock units held by the Reporting Person have been adjusted to increase the number of shares and, in the case of the options, reduce the exercise price, in a manner subject to the adjustment provisions of the AdvanSix Inc. spin-off from Honeywell which occurred on October 1, 2016; the Garrett Motion Inc. spin-off from Honeywell which occurred on October 1, 2018; and the

- (4) Due to an administrative error, the total number of shares held is updated to reflect the total number of Honeywell shares held by Mr. Hollick on April 25, 2019.
- (5) The Restricted Stock Units were granted under the 2016 Stock Plan for Non-Employee Directors of Honeywell International Inc. with all units vesting on April 25, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.